

EU External Action Fragility Security & Development

14-16 APRIL 2014

Main External Assistance Instruments
deployed in situations of crisis and fragility

Peter Maher
DG EuropeAid
DEVCO 07 - Fragility & Crisis Management

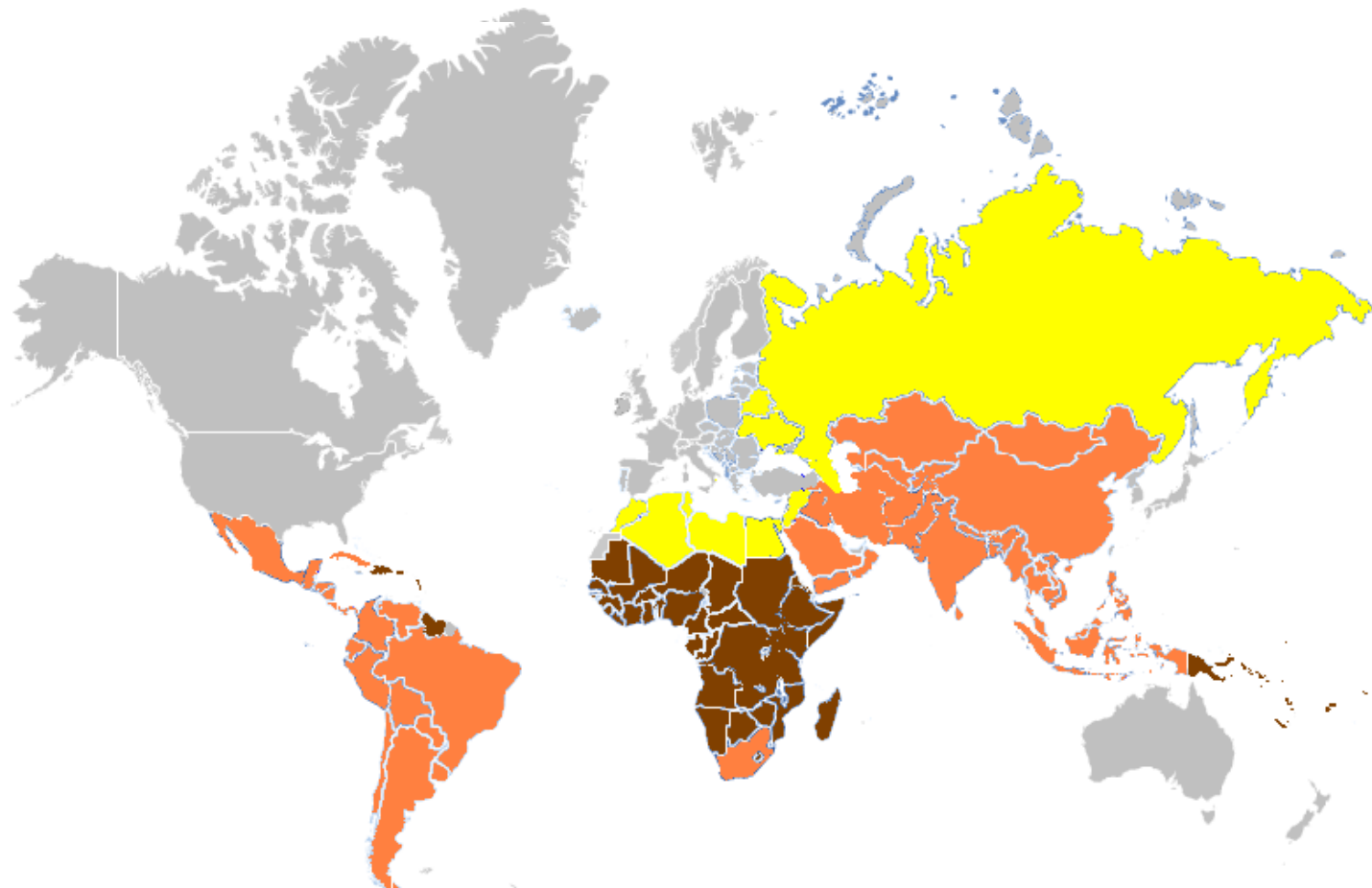
Table of Contents

- I** **External Assistance Financial Instruments**
- II** **Programing cycle**
- III** **Implementation of External Aid**
- IV** **Mobilising our instruments flexibly in situation
of crisis/ fragility**

EuropeAid Managed Geographic Instruments (also applicable to FCAS)

Pre-accession:	IPA , Instrument for Pre-accession Assistance
Non-accesion	Greenland Instrument
Industrialised:	ICI , Instrument for industrialised and higher-income countries
Neighbourhood:	ENI (ENPI), European Neighbourhood Instrument
Development:	DCI , Development Co-operation Instrument covering Asia/Gulf - Latin America - Rep. South Africa
EDF	EDF European Development Fund For ACP countries (excl. RSA)

Geographical Coverage



- Neighbourhood and Partnership Instrument**
- Development Cooperation Instrument**
- European Development Fund**

European Neighbourhood (formally Partnership) Instrument



EDF - Africa



NB: Less South Africa

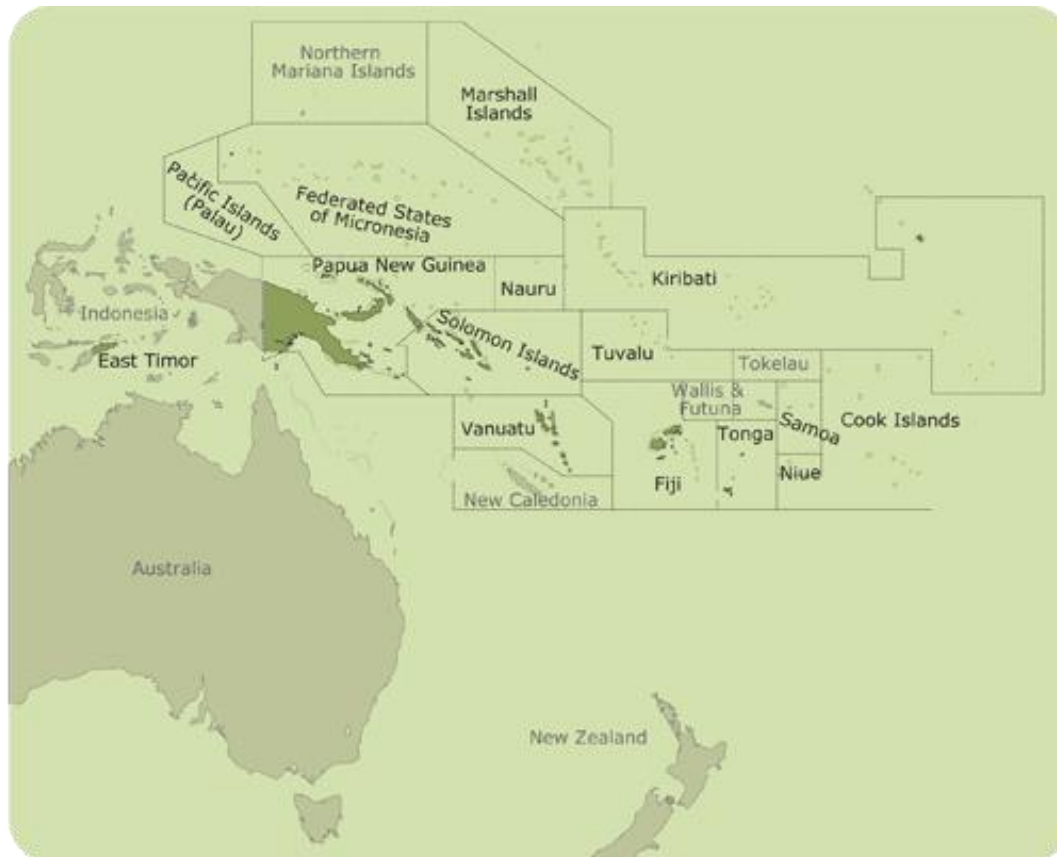


European

EDF - Caribbean



EDF - Pacific



DCI -Geographic programmes - Asia & Central Asia



NB: Less Timor Leste

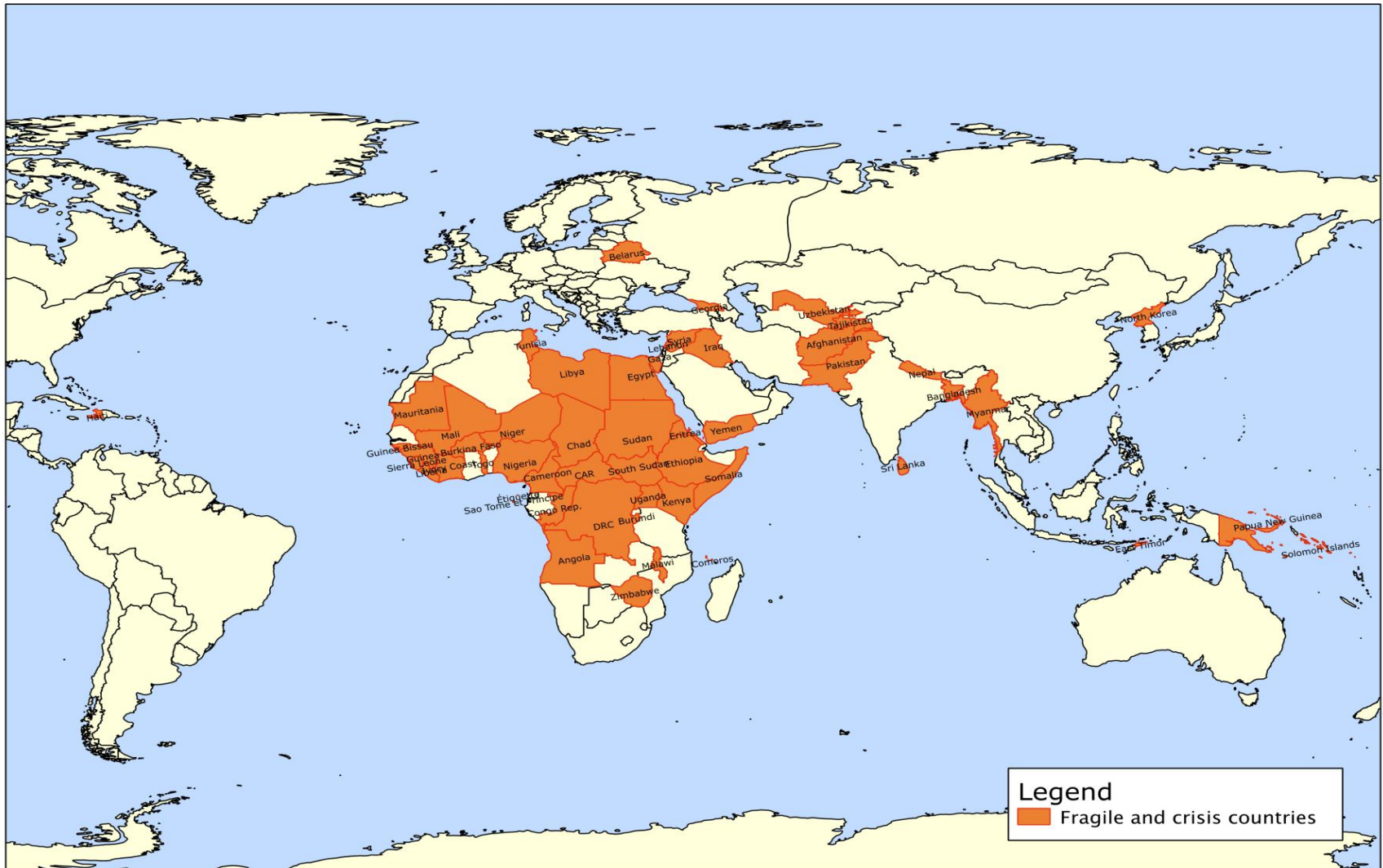
DCI -Geographic programmes - Gulf region



DCI -Geographic programmes - Latin America



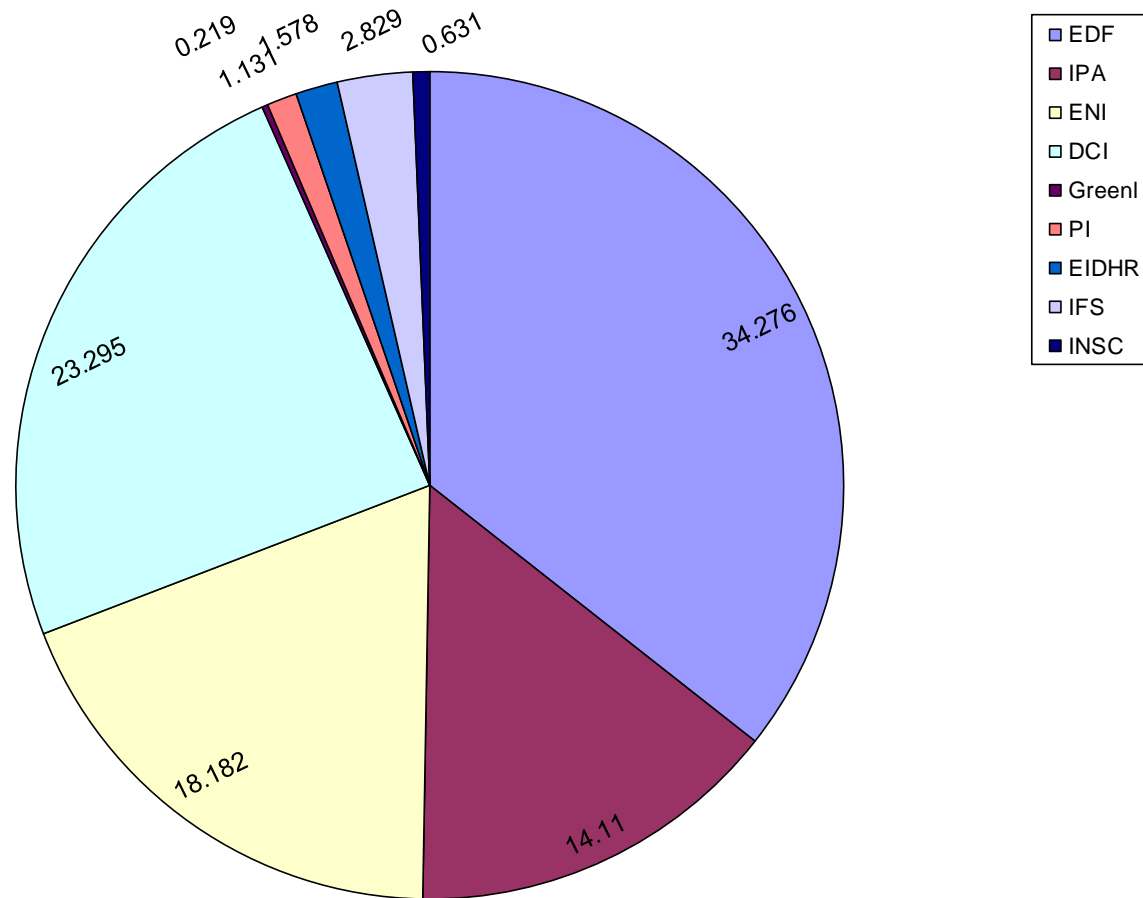
Fragile states 2012? – moveable perceptions



Instruments - Thematic

- Human Rights:* **EIDHR**, European Instrument for the promotion of Democracy and Human Rights
- Nuclear Safety:* **NSCI**, Nuclear Safety Co-operation Instrument
- Peace & Stability:* **IcSP**, Instrument for Stability (programmable part -> DEVCO)
- General:* **DCI** Thematic programmes
- Investing in people
 - Environment
 - Non State Actors / Local Authorities
 - Food security
 - Migration / asylum

Budget & EDF 2014-2020
Billion EUR



MFF 2014-2020

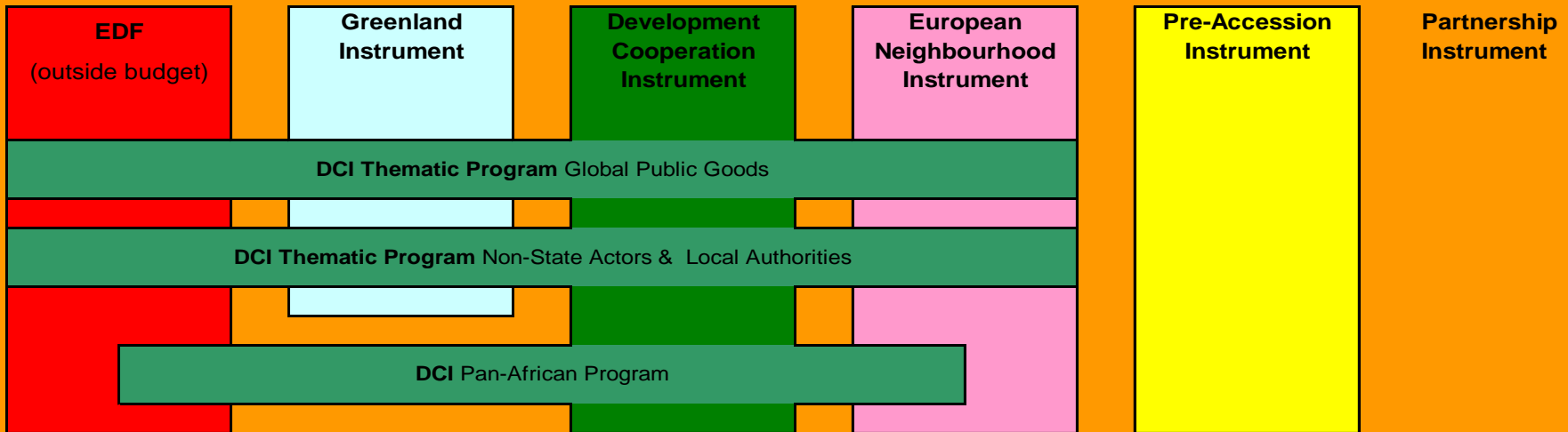
Heading IV Instruments

Package adopted on 7 / 12 / 2011 (€ 96,2 bn in current price - 85%)

Instrument for Democracy & Human Rights

Instrument for Stability

Instrument for Nuclear Safety



Common Implementing Regulation

Other instruments NOT in the package 7 / 12 / 2011 (€ 17,2 bn in current price - 15 %)

HUMA, CFSP, MACRO, EVHAC, CPFI, GUARANTEE FUND, AGENCIES, MARGIN

DCI 2014-2020

Differentiation no upper MIC but keeps Cuba & RSA;
all graduated countries OK regional)

Simplification of thematic programmes?

Provisions for fragility/crisis

- Art 12- prog in F&C situations; themes (vulnerability, conflict/reconcil; LRRD, disaster, etc.) & procedures & unallocated contingency reserves
- Art 14(3) – modification – programming in context of urgency - expost comitology.

Commission:

EuropeAid Development and Cooperation DG responsible for designing development policy and delivering development aid throughout the world

EEAS:

European External Action Service is aimed at making the EU's external action more coherent and efficient, thereby increasing the EU's influence in the world

Management:

Programmable instruments (components of) - **EuropeAid**

Non Programmable instruments (components of) **ECHO & FPI**

MFF 2014-2020 Heading IV – External Relations

Comprehensive package : total **EUR 96,251 million** (incl. EDF)

Furthering reforms MFF 2007-13 (streamlining/reducing No)

New Context – particularly Lisbon Treaty – EEAS aspirations - decisions procedures

- Global Europe as a global actor
- EDF 11
- Legal Framework - Regulations for 8 financing instruments & a
- Common Implementing Regulation (all but Greenland & EDF)
 - => harmonisation /simplification
 - => flexibility (ex-post comitology)

Table of Contents

- I – External Assistance Financial Instruments
- II – Programing & new emphasis on Security & Dev**
- III – Implementation of External Aid
- IV – Mobilising our instruments flexibly in situation of crisis/ fragility

Division of responsibilities within the project cycle – *who is really driving?*

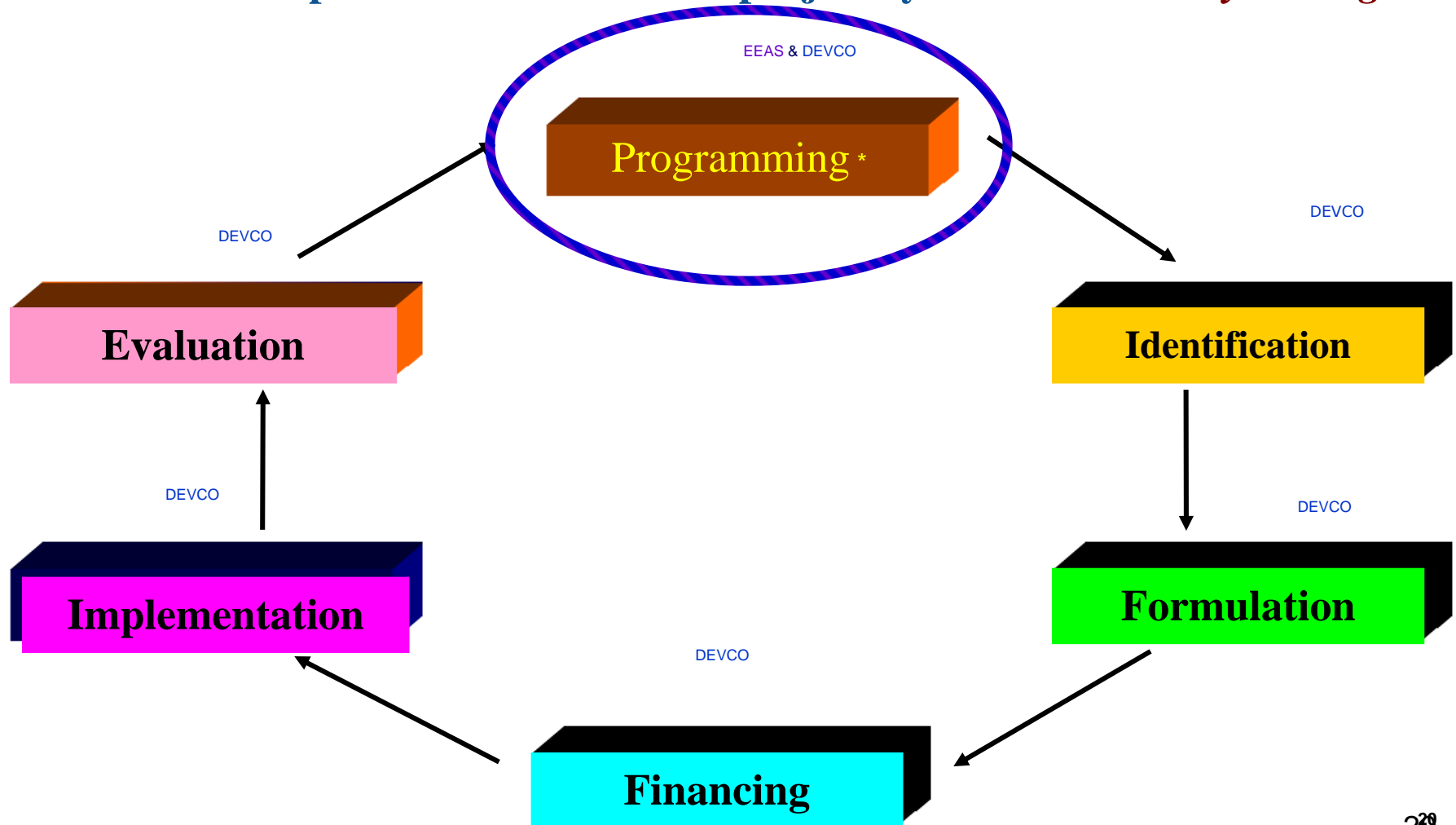


Table of Contents

- I – External Assistance Financial Instruments
- II – Programing cycle
- III - Implementation of External Aid**
- IV – Mobilising our instruments flexibly in situation of crisis/ fragility



Delivery Modalities

- **Budget support:** Transfer of EU funds to the national national budget of the partner country:
 - *SRC , SBC or GGC*

- **Project Approach:** supports the resolution of specific problems financing is channelled through donor procedures or country procedures. Two main modalities:
 - *Procurement (services, supplies, works)*
 - *Grants*
 - *Can be delegated to IFI, UN or MS for implementation*

Table of Contents

I External Assistance Financial Instruments

II Programing cycle

III Implementation of External Aid

IV Mobilising our instruments flexibly in situation of crisis/ fragility



What do we mean by “crisis”?

Qualification of crisis **is not focused on its causes**: man-made disasters (armed conflict, revolution...) or natural disasters (flood, drought, tsunami, earthquake...) or other extraordinary circumstances, but on the **consequences**

Crisis has **no limited duration** (as long as cooperation & development policies cannot resume normally).

Can be **sub-national**

What do we mean by “crisis”?

Different terminology under law applicable to **DCI, ENI, IcSP**, General Budget / non-EDF:

- “Crisis situation” is defined in the Rules of Application of the FR)
- Article 3 of IcSP refers to “crisis and emerging crisis”

But same concept: **impossibility or great difficulty to implement normally co-operation & development policies due to extraordinary circumstances destabilising a country/region.**

It may also cover countries with long term structural instability / protracted crises / **fragility**.

+/- Equiv. in Art 72 to 73 of Cotonou Agreement

What do we mean by “crisis”?

Article 190(2) RAP of the New FR:

Crisis situations in third countries shall be understood as situations of **immediate or imminent** danger threatening to **escalate into armed conflict** or to destabilise the country.

Crisis situations shall also be understood as situations caused by **natural** disasters, **manmade** crisis such as wars and other conflicts or extraordinary circumstances **having comparable effects** related inter alia to **climate change, environmental degradation, privation of access to energy and natural resources or extreme poverty**

Practical Guide on Contractual Procedures (PRAG)



- **Single document** containing the legal framework for procurement and grants + templates
- Applicable to **EDF and BUDG** instruments
- **Annex a11a on Crisis Situation**: describe, amongst others, how a crisis situation may be declared and the applicable flexible procedures

http://ec.europa.eu/europeaid/work/procedures/implementation/practical_guide/index_en.htm

Using EU guidance in FCAS

Programming guidelines

Budget support-fragile states guidance

Flexible procedures in situations of crisis – Crisis Declaration List

Guidance on conflict analysis/mainstreaming, on security-development

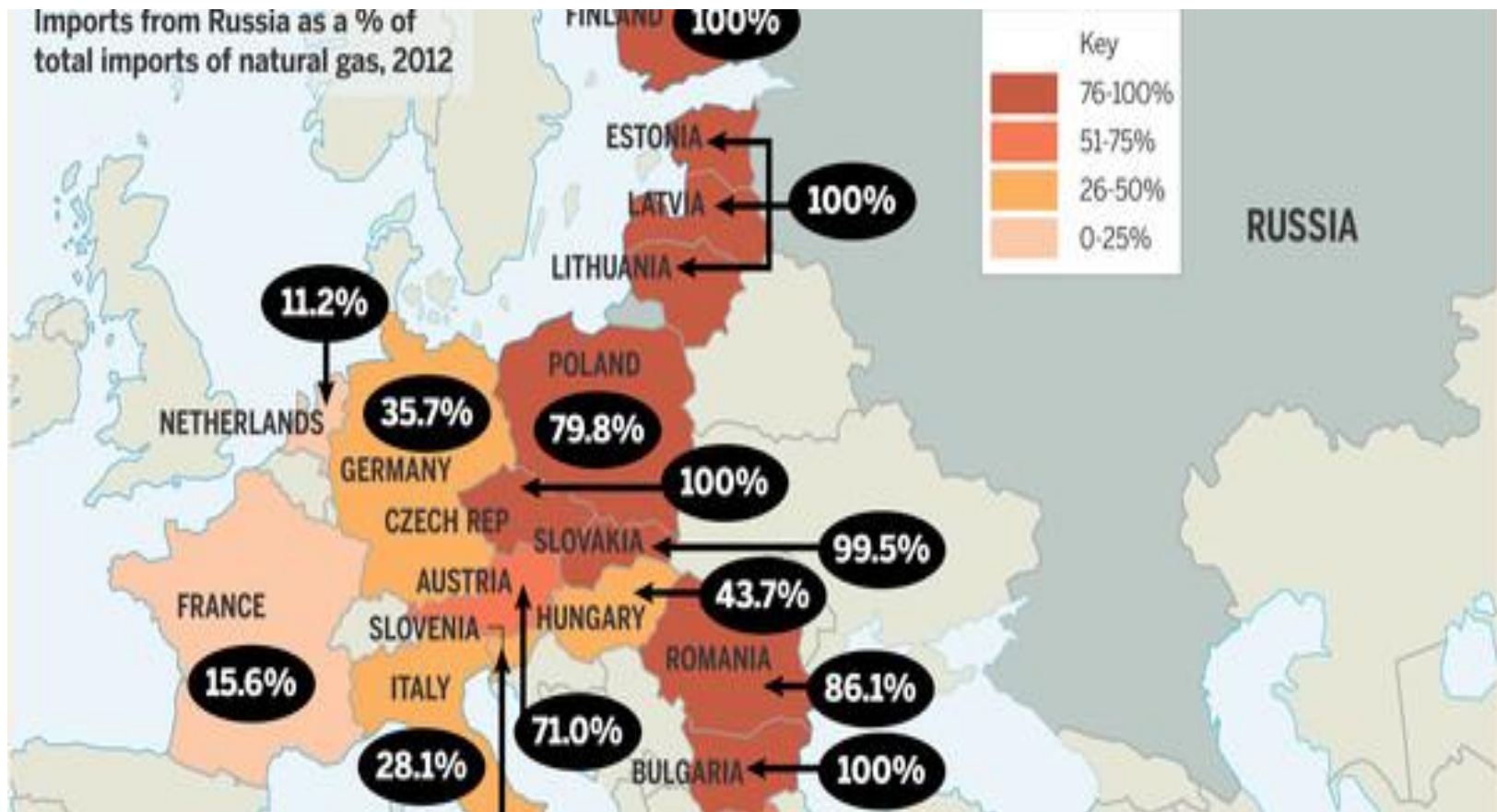
Resilience operational guidance

EU Trust Funds under new FR – Crisis or Thematic – Syria-hood?

Joined –up EU actions useful in CA context

Coherence between internal & external policies

How to limit our degrees of freedom



Thanks!

